



Introduction to CTDOT Retaining Wall Program

NETC Topical Discussion – March 21st, 2024

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Outline

- Introduction to Geotechnical Asset Management
- Introduction to CTDOT Retaining Wall Program
- Challenges for Developing a New Asset
- Challenges and Benefits of Retaining Wall Program
- Future of GAM at CTDOT

Geotechnical Asset



NCHRP RESEARCH REPORT 903

**Geotechnical Asset Management
for Transportation Agencies**

Volume 1: Research Overview

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Subscriber Categories

Administration and Management • Bridges and Other Structures • Geotechnology

Research sponsored by the American Association of State Highway and Transportation Officials
in cooperation with the Federal Highway Administration

The National Academies of
SCIENCES • ENGINEERING • MEDICINE

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Geotechnical Asset Management

Concept: Asset management is proactive approach to maintaining assets rather than a reactive approach.

Geotechnical Asset

- **Retaining walls**
- Embankments
- Slope (earth, rock)
- Subgrade

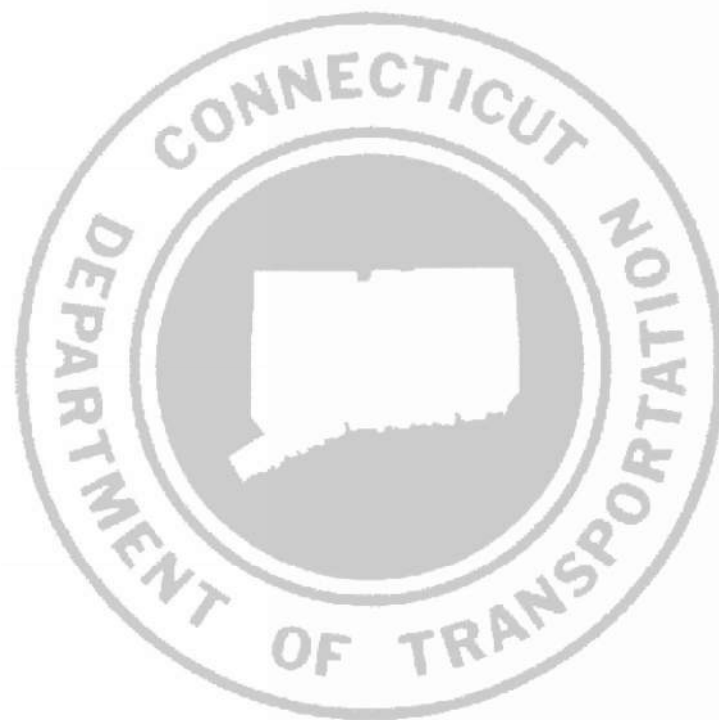
Note: CTDOT is currently focusing on **retaining wall** asset.

CTDOT Retaining Wall Program

Connecticut Department of Transportation Retaining Wall Inventory and Assessment Guidelines

2023

- Soils and Foundations has developed the “Retaining Wall Assessment and Inventory Guidelines”.
- Soils and Foundations developed the Retaining Wall assessment form.
- Soils and Foundations will be responsible for managing the retaining wall asset inventory and assessments.



Retaining Wall Asset

CTDOT defines the retaining walls as “structures that provide a grade separation by retaining earth and/or rock to support roadway and other structures”.

NCHRP Report 903 quantifies the retaining walls as structures with vertical face inclination of 70 degrees or steeper and exposed face of 4 feet or taller.



Metal Bin Wall



Concrete Crib Wall



Masonry Wall

Retaining Wall Asset

- Bridge abutments, headwalls and wingwalls, noise walls, fences and stone walls are not considered geotechnical asset.
- CTDOT has not adopted the minimum height for retaining walls except for concrete barrier curb walls.
- 12 Retaining Wall Types



CTDOT Retaining Wall Program

2010s Program

- Soils and Foundations provided training.
- CTDOT maintenance personnel conducted retaining wall condition rating.

2020s Program

- Soils and Foundations provided training.
- DOT Consultant Engineers are conducting retaining wall conditions rating.

Data collection

2010s Program

- In absence of smartphones, GPS equipment, etc. the retaining wall locations were collected by road numbers and mile points.
- The retaining wall locations were collected as point features.
- One of Soils and Foundations staff was tasked with data entry and conversion of route numbers/mile points to geospatial coordinates.
 - Time consuming

Data collection

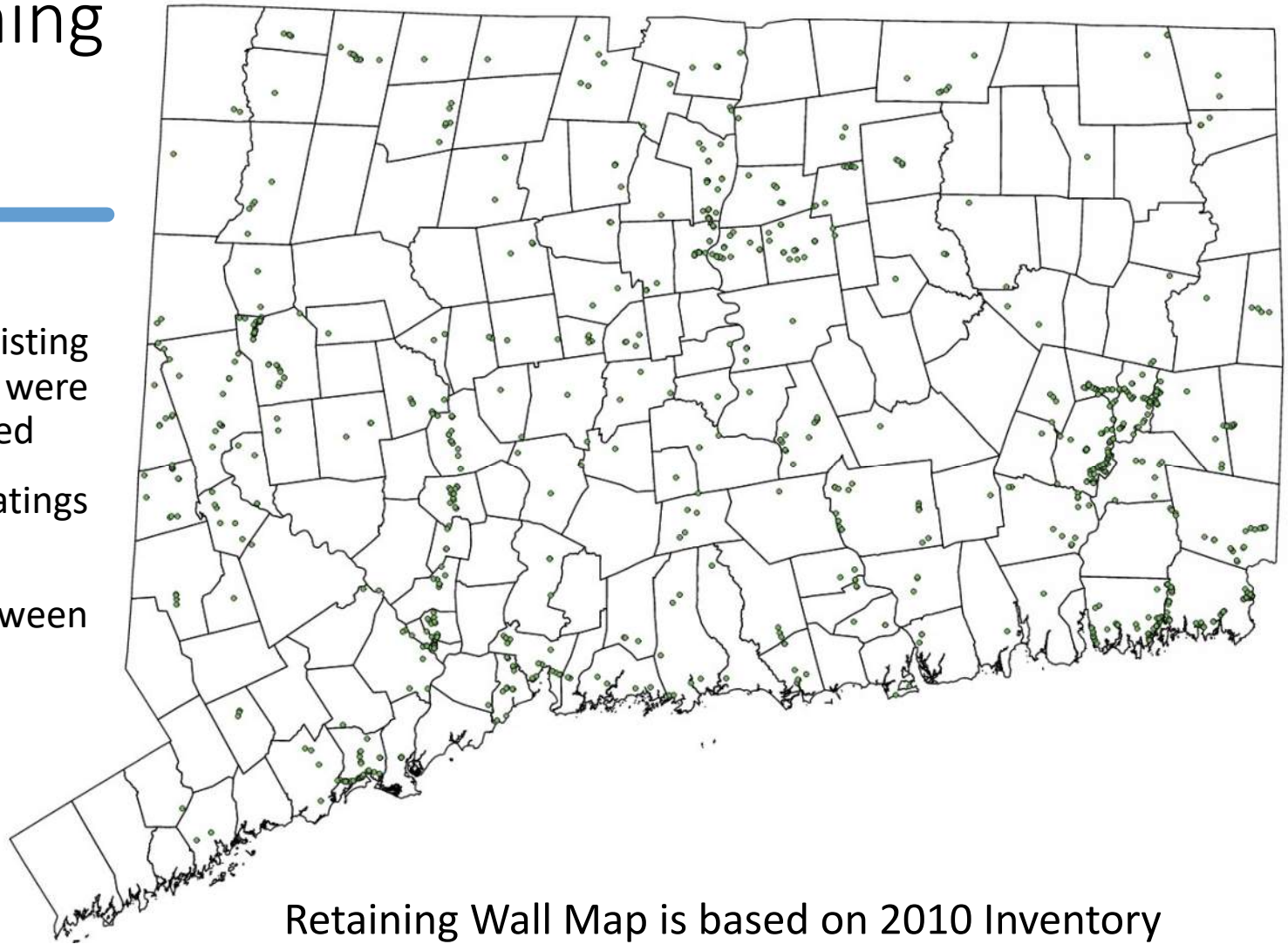
2020s Program

- With advancement of technology, the data is collected utilizing an application developed by the Department within ArcGIS Field Map Application.
- The retaining wall locations are collected as linear features.

CTDOT Retaining Wall Program

2010s Outcome

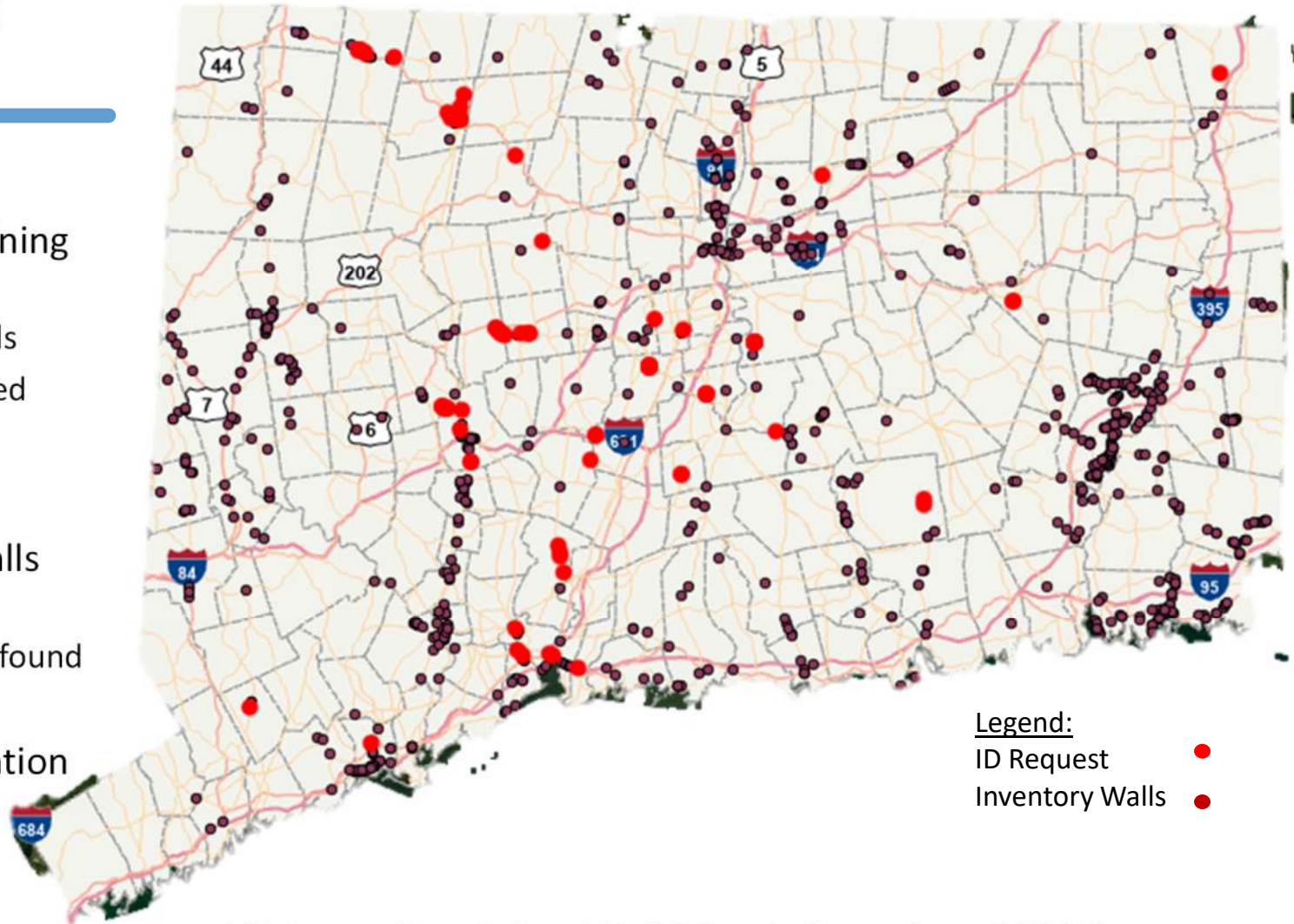
- Partial inventory consisting of 892 retaining walls were identified and evaluated
- The wall condition ratings were not consistent.
- Efforts varied between different facilities.



CTDOT Retaining Wall Program

2020s In Progress

- Estimated 1100+ retaining walls
 - 1050 Highway Walls
 - ~ 150 desk identified
 - 50+ Railroad Wall
 - ~ 50 Busway Walls
- Approximately 400 walls will be evaluated.
 - Identify the newly found walls.
- Retaining Wall Application useability.
 - Data collection

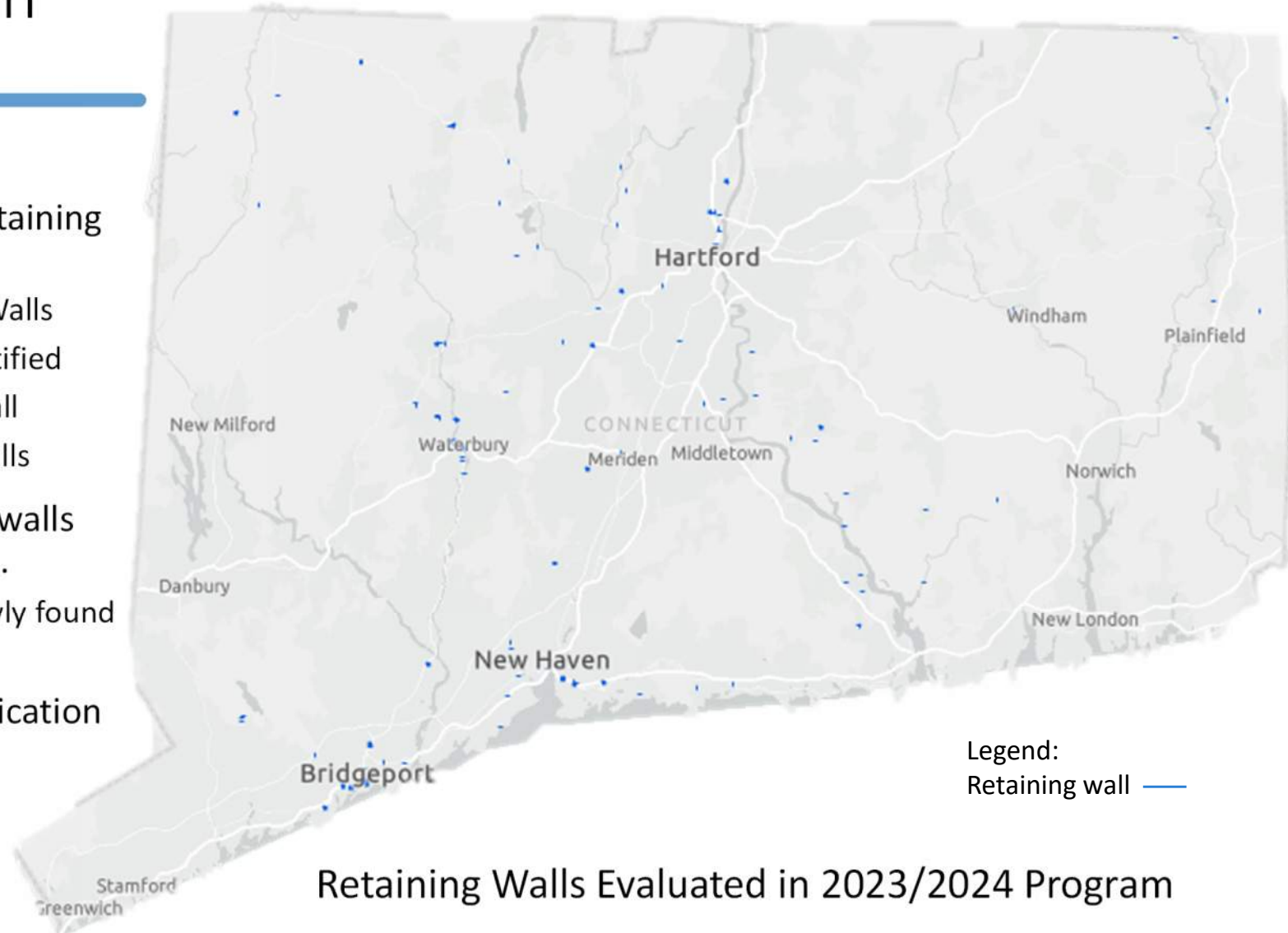


Highway Retaining Wall Map is based on 2023 Inventory

CTDOT Retaining Wall Program

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Challenges

- No federal guidelines for condition rating of geotechnical assets
 - Frequency of Routine Assessment
 - Critical Condition Determination
- No official training for retaining wall evaluation
 - Inconsistent condition rating
- Service life of retaining walls and deterioration models
 - Unlike bridges and pavements dTIMS is not developed for retaining walls.
- Adequacy and Reliability of visual evaluation
- Developing the inventory for the geotechnical assets
 - Retaining Wall Identification
- Communication

Challenges – Asset Identification



Note: The maintenance personnel identified the wall measuring the distances to the nearest intersection. Soils and Foundations technician later located mile points.

Geospatial vs. Route Number/Mile Point

- Wall evaluated twice.
- Wall was identified in relation to Old Stafford Road and once in relation to Dunn Road.
- Wall condition rating varied between the two assessments.
- Wall was entered twice as two different walls.



Challenges – Asset Identification

- Geospatial vs. Route Number/Mile Point:
- Wall Identified in relation to “Great Pond Road”.
- Wall location misidentified in 2010s.
- Field verification in 2020s.



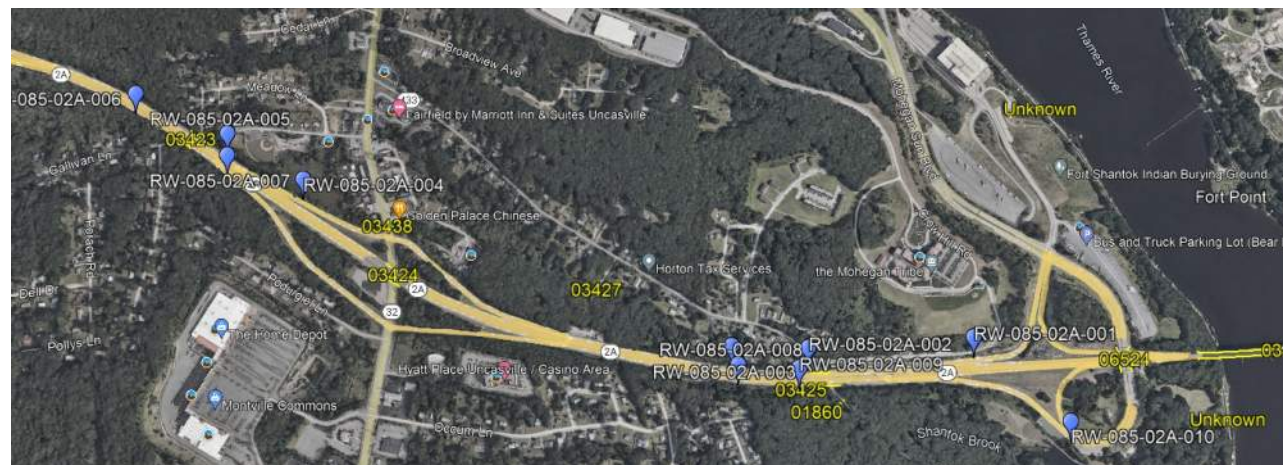
Challenges – Inventory Development

Inventory Development:

- Field Identification
- Survey Information
- Desk Review – Plan Review and Aerial Imagery
- Desk Review – LiDAR Data



One wall was identified during field visit (2010s program)



Nine other walls were identified by plan review/ aerial imagery

Challenges – Visual Assessment

- Access
 - Walls below roadway
 - Tall walls
 - Vegetation Growth
 - Walls to be evaluated during cold season
 - Reliability of Visual Assessment
 - Walls with internal reinforcement such as MSE Wall, anchored walls and Soil Nails Walls
 - Walls with architectural facing
- Consider using other methods such as UAV for retaining wall evaluation
- UConn Research on “Proof of Concept for Applicability of 3D Imaging for the Inspection of Dry Masonry Retaining Walls”

Challenges – Visual Inspection | Access



Challenges – Visual Inspection

Walls with Internal Reinforcement



Anchored Wall with Architectural Facing



Soil Nail Wall



Mechanically Stabilized Earth Wall

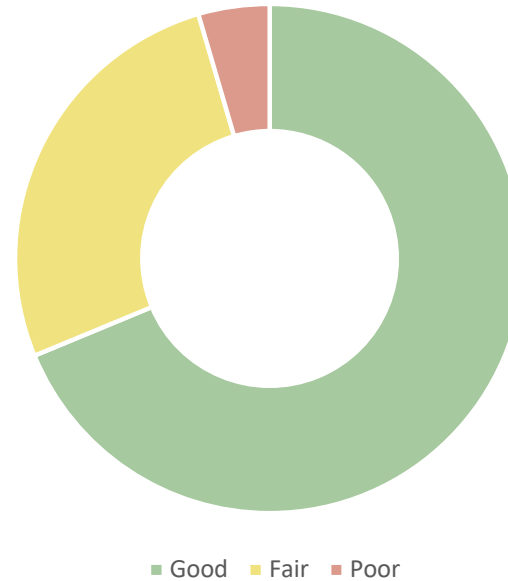


Soil Nail Wall with Permanent Facing

Challenges - Condition Rating

Note: CTDOT has added the retaining wall asset to TAMP 2022.

Retaining Walls Inventory and Condition



2023 Retaining
Wall Condition:
Good: 68.8%
Fair: 26.7%
Poor: 4.5%

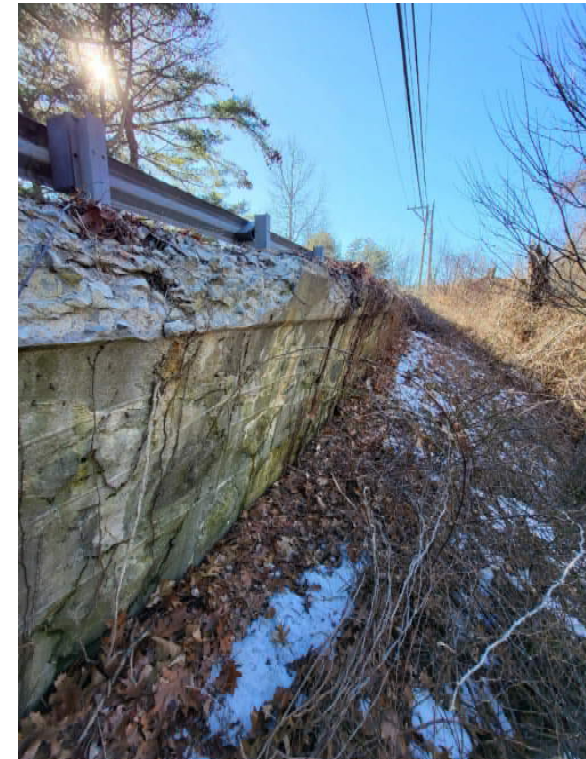
Condition Rating Criteria:

- Stability (0 to 2)
- Backfill/Settlement (0 to 2)
- Structural (0 to 2)

Total Condition Rating (0 to 6): sum of the three criterion

SOGGR is defined as walls with total condition rating of 3 or higher.

Challenges - Condition Rating





Challenges - Condition Rating



Challenges and Benefits of GAM

RW-081-147-001: April 2023
→ Initiate a project for
immediate repairs



Challenges and Benefits of GAM

RW-081-147-001: February 2024



Benefits of Developing GAM

RW-157-053-001:

- ***Metal Bin Wall***
- 2010s Total Condition Rating: 4
- 2020s Total Condition Rating: 3

RW-157-053-002

- ***Metal Bin Wall***
- 2010s Total Condition Rating: 4
- 2020s Total Condition Rating: 2

A project is proposed to be initiated to replace RW-157-053-001 and RW-157-053-002

RW-157-053-003

- ***Metal Bin Wall***
- 2010s Total Condition Rating: 3
- 2019: A ***concrete wall*** was constructed in front of this wall.
- 2020 Total Condition Rating: 6



RW-157-053-001



RW-157-053-002



RW-157-053-003

Future of GAM at CTDOT

- Utilize LiDAR to capture the remaining Retaining Wall Asset and other Geotechnical Asset.
- Utilize technologies such as UAV to evaluate the retaining wall asset.
- Routine assessment and project initiation to maintain the SOGR.
- Develop similar program for other geotechnical assets.

Questions??
